

**BEST AVAILABLE COPY**

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT) GJ

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
27 May 2004 (27.05.2004)

PCT

(10) International Publication Number  
**WO 2004/043305 A1**

(51) International Patent Classification<sup>7</sup>: **A61F 2/30**

(21) International Application Number: **PCT/US2003/036079**

(22) International Filing Date: **7 November 2003 (07.11.2003)**

(25) Filing Language: **English**

(26) Publication Language: **English**

(30) Priority Data:  
60/424,964 **7 November 2002 (07.11.2002)** US

(71) Applicant (for all designated States except US): **IMAGING THERAPEUTICS, INC. [US/US]; 1720 South Amphlett Boulevard, Suite 240, San Mateo, CA 94402 (US).**

(72) Inventors; and

(75) Inventors/Applicants (for US only): **TSOUGARAKIS, Konstantinos [GR/US]; 950 High School Way, Apt.**

3219, Mountain View, CA 94041 (US). STEINES, Daniel [DE/US]; 3619 Park Boulevard, Palo Alto, CA 94306 (US). VISSA, Bhaskar Rao [US/US]; 3353 Belgrave Circle, San Jose, CA 95148 (US). LANG, Philipp [DE/US]; 7 Fair Oaks Terrace, Lexington, MA 02421 (US). LINDER, Barry, J. [US/US]; 29 Bluehaven Court, Danville, CA 94506 (US).

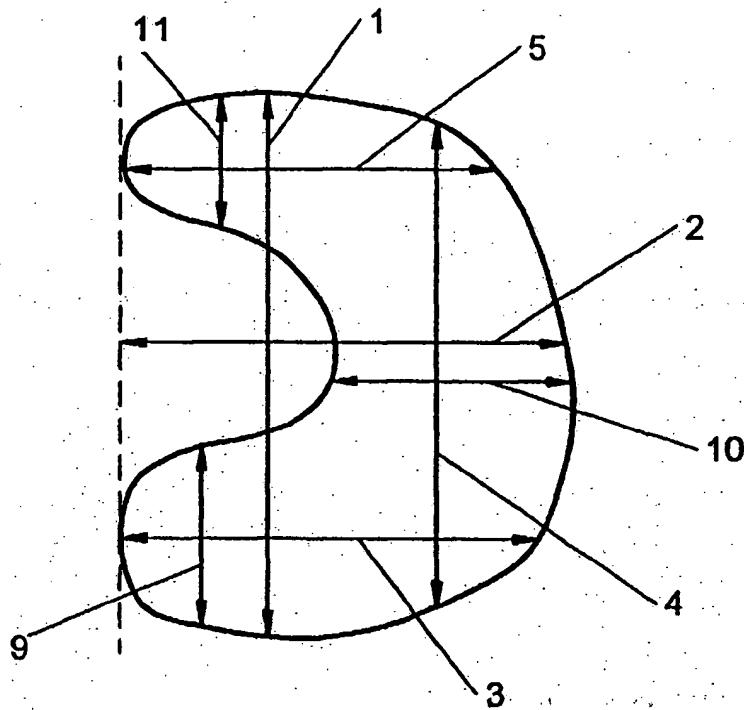
(74) Agents: **PASTERNAK, Dahna et al.; 1731 Embarcadero Road, Suite 230, Palo Alto, CA 94303 (US).**

(81) Designated States (national): **AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.**

(84) Designated States (regional): **ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW),**

[Continued on next page]

(54) Title: **METHODS FOR DETERMINING MENISCAL SIZE AND SHAPE AND FOR DEVISING TREATMENT**



(57) Abstract: The present invention relates to methods for determining meniscal size and shape for use in designing therapies for the treatment of various joint diseases. The invention uses an image of a joint that is processed for analysis. Analysis can include, for example, generating a thickness map, a cartilage curve, or a point cloud. This information is used to determine the extent of the cartilage defect or damage and to design an appropriate therapy, including, for example, an implant. Adjustments to the designed therapy are made to account for the materials used.

**WO 2004/043305 A1**



Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM); European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

— before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

**Published:**

— with International search report

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

6750-0011.40/IT02-U06.PCT1

*Patent Application For***METHODS FOR DETERMINING MENISCAL SIZE AND SHAPE  
AND FOR DEVISING TREATMENT**

By

5

Konstantinos Tsougarakis  
Daniel Steines  
Bhaskar Rao Vissa  
Philipp Lang  
Barry J. Linder

10

**STATEMENT AS TO RIGHTS TO INVENTIONS MADE UNDER FEDERALLY  
SPONSORED RESEARCH AND DEVELOPMENT**

[0001] Certain aspects of the invention described below were made with United States Government support under Advanced Technology Program 70NANBOH3016 awarded by the National Institute of Standards and Technology (NIST). The United States Government may have rights in certain of these inventions.

15 [0002] This application claims priority to U.S. Provisional Patent Application 60/424,964 filed on November 7, 2002.

20

**FIELD OF THE INVENTION**

[0003] The present invention relates to methods for determining meniscal size and shape for use in designing therapies for the treatment of various joint diseases. This method is then used to design an implant or 25 articular repair system for use in a joint.

6750-0011.40/IT02-U06.PCT1

BACKGROUND OF THE INVENTION

[0004] There are various types of cartilage, e.g., hyaline cartilage and fibrocartilage. Hyaline cartilage is found at the articular surfaces of bones, e.g., in the joints, and is responsible for providing the smooth gliding motion characteristic of moveable joints. Articular cartilage is firmly attached to the underlying bones and measures typically less than 5mm in thickness in human joints, with considerable variation depending on the joint and more particularly the site within the joint. In addition, articular cartilage is aneural, avascular, and alymphatic

5 [0005] Adult cartilage has a limited ability of repair; thus, damage to cartilage produced by disease, such as rheumatoid arthritis and/or osteoarthritis, or trauma can lead to serious physical deformity and debilitation. Furthermore, as human articular cartilage ages, its tensile properties change. Thus, the tensile stiffness and strength of adult cartilage decreases markedly over time as a result of the aging process.

10 [0006] For example, the superficial zone of the knee articular cartilage exhibits an increase in tensile strength up to the third decade of life, after which it decreases markedly with age as detectable damage to type II collagen occurs at the articular surface. The deep zone cartilage also exhibits a progressive decrease in tensile strength with increasing age, although collagen content does not appear to decrease. These observations indicate that there are changes in mechanical and, hence, structural organization of cartilage with aging that, if sufficiently developed, can predispose cartilage to traumatic damage.

15 [0007] Once damage occurs, joint repair can be addressed through a number of approaches. The use of matrices, tissue scaffolds or other carriers implanted with cells (e.g., chondrocytes, chondrocyte progenitors, stromal cells, mesenchymal stem cells, etc.) has been described as a

6750-0011.40/IT02-U06.PCT1

potential treatment for cartilage and meniscal repair or replacement. See, also, International Publications WO 99/51719 to Fofonoff, published October 14, 1999; WO01/91672 to Simon et al., published 12/6/2001; and WO01/17463 to Mannsmann, published March 15, 2001; U.S. Patent No. 5 6,283,980 B1 to Vibe-Hansen et al., issued September 4, 2001, U.S. Patent No. 5,842,477 to Naughton issued December 1, 1998, U.S. Patent No. 5,769,899 to Schwartz et al. issued June 23, 1998, U.S. Patent No. 4,609,551 to Caplan et al. issued September 2, 1986, U.S. Patent No. 5,041,138 to Vacanti et al. issued August 29, 1991, U.S. Patent No. 10 5,197,985 to Caplan et al. issued March 30, 1993, U.S. Patent No. 5,226,914 to Caplan et al. issued July 13, 1993, U.S. Patent No. 6,328,765 to Hardwick et al. issued December 11, 2001, U.S. Patent No. 6,281,195 to Rueger et al. issued August 28, 2001, and U.S. Patent No. 4,846,835 to Grande issued July 11, 1989. However, clinical outcomes with biologic 15 replacement materials such as allograft and autograft systems and tissue scaffolds have been uncertain since most of these materials cannot achieve a morphologic arrangement or structure similar to or identical to that of normal, disease-free human tissue it is intended to replace. Moreover, the mechanical durability of these biologic replacement 20 materials remains uncertain.

[0008] Usually, severe damage or loss of cartilage is treated by replacement of the joint with a prosthetic material, for example, silicone, e.g. for cosmetic repairs, or suitable metal alloys. See, e.g., U.S. Patent No. 6,443,991 B1 to Running issued September 3, 2002, U.S. Patent No. 25 6,387,131 B1 to Miehlke et al. issued May 14, 2002; U.S. Patent No. 6,383,228 to Schmotzer issued May 7, 2002; U.S. Patent No. 6,344,059 B1 to Krakovits et al. issued February 5, 1002; U.S. Patent No. 6,203,576 to Afriat et al. issued March 20, 2001; U.S. Patent No. 6,126,690 to Ateshian et al. issued October 3, 2000; U.S. Patent 6,013,103 to Kaufman

6750-0011.40/IT02-U06.PCT1

et al. issued January 11, 2000. Implantation of these prosthetic devices is usually associated with loss of underlying tissue and bone without recovery of the full function allowed by the original cartilage and, with some devices, serious long-term complications associated with the loss of 5 significant amounts of tissue and bone can include infection, osteolysis and also loosening of the implant.

[0009] As can be appreciated, joint arthroplasties are highly invasive and require surgical resection of the entire, or a majority of the, 10 articular surface of one or more bones involved in the repair. Typically with these procedures, the marrow space is fairly extensively reamed in order to fit the stem of the prosthesis within the bone. Reaming results in a loss of the patient's bone stock and over time subsequent osteolysis will frequently lead to loosening of the prosthesis. Further, the area where the 15 implant and the bone mate degrades over time requiring the prosthesis to eventually be replaced. Since the patient's bone stock is limited, the number of possible replacement surgeries is also limited for joint arthroplasty. In short, over the course of 15 to 20 years, and in some cases even shorter time periods, the patient can run out of therapeutic options ultimately resulting in a painful, non-functional joint.

[0010] U.S. Patent No. 6,206,927 to Fell, et al., issued March 27, 2001, and U.S. Patent No. 6,558,421 to Fell, et al., issued May 6, 2003, disclose a surgically implantable knee prosthesis that does not require bone resection. This prosthesis is described as substantially elliptical in shape with one or more straight edges. Accordingly, these devices are not 20 designed to substantially conform to the actual shape (contour) of the remaining cartilage in vivo and/or the underlying bone. Thus, integration of the implant can be extremely difficult due to differences in thickness and curvature between the patient's surrounding cartilage and/or the underlying subchondral bone and the prosthesis.

6750-0011.40/IT02-U06.PCT1

5 [0011] Interpositional knee devices that are not attached to both the tibia and femur have been described. For example, Platt et al. (1969) "Mould Arthroplasty of the Knee," Journal of Bone and Joint Surgery 51B(1):76-87, describes a hemi-arthroplasty with a convex undersurface that was not rigidly attached to the tibia.

10 [0012] U.S. Patent 4,502,161 to Wall issued March 5, 1985, describes a prosthetic meniscus constructed from materials such as silicone rubber or Teflon with reinforcing materials of stainless steel or nylon strands. U.S. Patent 4,085,466 to Goodfellow et al. issued March 25, 1978, describes a meniscal component made from plastic materials. Reconstruction of meniscal lesions has also been attempted with carbon-fiber-polyurethane-poly (L-lactide). Leeslag, et al., Biological and Biomechanical Performance of Biomaterials (Christel et al., eds.) Elsevier Science Publishers B.V., Amsterdam. 1986. pp. 347-352. Reconstruction of meniscal lesions is also possible with bioresorbable materials and tissue scaffolds.

15 [0013] However, currently available devices do not always provide ideal alignment with the articular surfaces and the resultant joint congruity. Poor alignment and poor joint congruity can, for example, lead to instability of the joint. In the knee joint, instability typically manifests as a lateral instability of the joint.

20 [0014] Thus, there remains a need for methods that recreate natural or near natural relationships between two articular surfaces of the joint (such as the femoral condyle and the tibial plateau).

25

#### SUMMARY OF THE INVENTION

[0015] In one aspect, when the meniscus is present in the subject, the invention includes measuring the dimensions and/or shape parameters of the meniscus. Such dimensions and parameters include, for example,

6750-0011.40/IT02-U06.PCT1

but are not limited to, the maximum anterior-posterior distance of the meniscus, the maximum medial-lateral distance of the meniscus, the size or area of the meniscal attachment(s), the maximum length of the anterior horn, the maximum and minimum height of the anterior horn, the 5 maximum and minimum height of the body, the maximum and minimum height of the posterior horn, the maximum height and minimum height of the meniscus, the maximum and minimum width of the anterior horn, the maximum and minimum width of the body, the maximum and minimum width of the posterior horn, meniscal radii and angles at various locations. 10 These measurements can then be used to design therapies for the treatment of joint diseases. These treatments can include, for example, meniscal repair systems, cartilage repair systems, articular repair systems and arthroplasty systems and they can consist of, for example, biologic materials, tissue scaffolds, plastic, metal or metal alloys, or combinations 15 thereof. Therapies can be custom-made, typically utilizing at least one or more of these measurements. Alternatively, a pre-made, "off-the-shelf" component closely matching at least one or more of these measurements can be selected.

20 [0016] In another aspect, the invention includes measuring the dimensions and/or shape parameters of the contralateral meniscus. Such dimensions and parameters include, for example, but are not limited to, the maximum anterior-posterior distance of the meniscus, the maximum medial-lateral distance of the meniscus, the size or area of the meniscal attachment(s), the maximum length of the anterior horn, the maximum 25 length of the body, the maximum length of the posterior horn, the maximum and minimum height of the anterior horn, the maximum and minimum height of the body, the maximum and minimum height of the posterior horn, the maximum height and minimum height of the meniscus,

6750-0011.40/IT02-U06.PCT1

the maximum and minimum width of the anterior horn, the maximum and minimum width of the body, the maximum and minimum width of the posterior horn, meniscal radii, and angles at various locations:

[0017] In one embodiment, the meniscus of the opposite compartment can be used to create a mirror image of the meniscus on the diseased side. These measurements can then be used to determine meniscal size and/or shape in designing treatments for the diseased joint. These treatments can include, for example, meniscal repair systems, cartilage repair systems, articular repair systems and arthroplasty systems and they can consist of, for example, biologic materials, tissue scaffolds, plastic, metal or metal alloys or combinations thereof. Therapies can be custom-made, typically utilizing at least one or more of these measurements. Alternatively, a pre-made, "off-the-shelf" component matching or closely matching at least one or more of these measurements can be selected.

[0018] In yet another embodiment, the 3D geometry of the meniscus on the affected site can be derived from measurements from neighboring articular surfaces and structures to recreate the shape and size of the diseased meniscus. Such measurements include, for example, but are not limited to, tibial bone dimensions, such as maximum anterior-posterior distance, maximum medial-lateral distance, maximum distance from the tibial spine to the edge, width of the tibial spines, height of the tibial spines, area of tibial plateau occupied by tibial spines, depth of tibial plateau, 2D and 3D shape of tibial plateau; femoral condyle bone dimensions, such as maximum anterior-posterior distance, maximum superior-inferior distance, maximum medial-lateral distance, maximum distance from the trochlea to the medial or lateral edge; width and depth of

6750-0011.40/IT02-U06.PCT1

intercondylar notch, curvature at select regions along the femoral condyle, 2D and 3D shape.

**[0019]** In yet another aspect, when applied to the knee joint the invention includes one or more of the following measurements: (1) tibial bone dimensions, for example, maximum anterior-posterior distance, maximum medial-lateral distance, maximum distance from the tibial spine to the edge, width of the tibial spines, height of the tibial spines, area of tibial plateau occupied by tibial spines, depth of tibial plateau, 2D and 3D shape of tibial plateau; (2) tibial cartilage dimensions, including thickness and shape; (3) femoral condyle bone dimensions, for example, maximum anterior-posterior distance, maximum superior-inferior distance, maximum medial-lateral distance, maximum distance from the trochlea to the medial or lateral edge; width and depth of intercondylar notch, curvature at select regions along the femoral condyle, 2D and 3D shape; and (4) femoral cartilage measurements including thickness and shape. These measurements can then be used to estimate meniscal size and/or shape for the treatment of joint diseases. These treatments can include, for example, meniscal repair systems, cartilage repair systems, articular repair systems and arthroplasty systems and it can consist of, for example, biologic materials, tissue scaffolds, plastic, metal or metal alloys, or combinations thereof. Therapies can be custom-made, typically utilizing at least one or more of these measurements. Alternatively, a pre-made, "off-the-shelf" component closely matching at least one or more of these measurements can be selected.

**[0020]** In a further aspect, meniscal measurements are taken from a reference population possessing normal or near normal menisci. Meniscal measurements can include, but are not limited to, for example, the maximum anterior-posterior distance of the meniscus, the maximum

6750-0011.40/IT02-U06.PCT1

medial-lateral distance of the meniscus, the size or area of the meniscal attachment(s), the maximum length of the anterior horn, the maximum length of the body, the maximum length of the posterior horn, the maximum and minimum height of the anterior horn, the maximum and minimum height of the body, the maximum and minimum height of the posterior horn, the maximum height and minimum height of the meniscus, the maximum and minimum width of the anterior horn, the maximum and minimum width of the body, the maximum and minimum width of the posterior horn, meniscal radii and angles at various locations.

10 [0021] Additional non-meniscal measurements can also be taken using the same reference population and may include one or more of the following: (1) tibial bone dimensions, for example, maximum anterior-posterior distance, maximum medial-lateral distance, maximum distance from the tibial spine to the edge, width of the tibial spines, height of the tibial spines, area of tibial plateau occupied by tibial spines, depth of tibial plateau, 2D and 3D shape of tibial plateau; (2) tibial cartilage dimensions including thickness and shape; (3) femoral condyle bone dimensions, for example, maximum anterior-posterior distance, maximum superior-inferior distance, maximum medial-lateral distance, maximum distance from the trochlea to the medial or lateral edge, width and depth of the intercondylar notch, curvature at select regions along the femoral condyle, 2D and 3D shape, (4) femoral cartilage measurements including thickness and shape; (5) measuring the patellar bone dimensions; (6) measuring the patellar cartilage dimensions including thickness and shape; and/or (7) measuring the size, length or shape of ligamentous structures such as the cruciate ligaments.

15 20 25

[0022] The size and/or shape of the menisci in the reference population can then be correlated to one or more of the additional non-

6750-0011.40/IT02-U06.PCT1

meniscal measurements. Once a correlation is established, the bone and/or cartilage and/or ligamentous dimensions with the highest correlation to meniscal size and/or shape can be used to predict meniscal size and/or shape in designing therapies for persons suffering from joint disease. The data from the reference population is typically stored in a database which can be periodically or continuously updated. Using this information, therapies can be devices which include, for example, meniscal repair systems, cartilage repair systems, articular repair systems and arthroplasty systems and they can consist of, for example, biologic materials, tissue scaffolds, plastic, metal or metal alloys, or combinations thereof. Therapies can be custom-made, typically utilizing at least one or more of these measurements. Alternatively, a pre-made, "off-the-shelf" component closely matching at least one or more of these measurements can be selected. For example, a meniscal repair system can be selected utilizing this information. Alternatively, this information can be utilized in shaping an interpositional arthroplasty system.

#### BRIEF DESCRIPTION OF THE DRAWINGS

[0023] FIG. 1A illustrates an example of a Placido disk of concentrically arranged circles of light. FIG. 1B illustrates an example of a projected Placido disk on a surface of fixed curvature.

[0024] FIG. 2 shows a reflection resulting from a projection of concentric circles of light (Placido Disk) on each femoral condyle, demonstrating the effect of variation in surface contour on the reflected circles.

[0025] FIG. 3 illustrates an example of a 2D color-coded topographical map of an irregularly curved surface.

6750-0011.40/1T02-U06.PCT1

[0026] FIG. 4 illustrates an example of a 3D color-coded topographical map of an irregularly curved surface.

[0027] FIG. 5 illustrates surface registration of MRI surface and a digitized surface using a laser scanner. The illustration to the left shows 5 the surface before registration and the illustration to the right shows the surface after registration.

[0028] FIG. 6 is a reproduction of a three-dimensional thickness map 10 of the articular cartilage of the distal femur. Three-dimensional thickness maps can be generated, for example, from ultrasound, CT or MRI data. Dark holes within the substances of the cartilage indicate areas of full thickness cartilage loss.

[0029] FIG. 7 illustrates the cartilage surface of a medial femoral condyle from a sagittal scan (blue) and a coronal scan (red).

[0030] FIG. 8A illustrates an axial view of a meniscus; FIG. 8B 15 illustrates a sagittal view of the meniscus; and FIG. 8C illustrates a coronal view of the meniscus.

[0031] FIG. 9A illustrates a sagittal view of the tibia; and FIG. 9B 20 illustrates a coronal view of the tibia.

[0032] FIG. 10A illustrates a sagittal view of the femur; and FIG. 10B 25 illustrates a coronal view of the femur.

[0033] FIGS. 11A-C illustrate a chart showing the tibial cartilage surface and superior meniscal surface combined after extraction from a coronal FSE, and a meniscal surface scaled to account for compression under loading conditions. From the information is derived the cross-section 25 of the implant, FIG. 11C.

6750-0011.40/IT02-U06.PCT1

[0034] FIG. 12 illustrates a point cloud of an implant surface (yellow) that approximates smooth surface patch (brown).

5 [0035] FIGS. 13A and B are views of an implant suitable for use on a condyle of the femur shown from the inferior and superior surface viewpoints, respectively.

[0036] FIG. 14 is a view of an implant suitable for a portion of the tibial plateau in the knee.

[0037] FIGS. 15A-D are views of an implant suitable for the hip.

#### DETAILED DESCRIPTION OF THE INVENTION

10 [0038] The following description is presented to enable any person skilled in the art to make and use the invention. Various modifications to the embodiments described will be readily apparent to those skilled in the art, and the generic principles defined herein can be applied to other embodiments and applications without departing from the spirit and scope  
15 of the present invention as defined by the appended claims. Thus, the present invention is not intended to be limited to the embodiments shown, but is to be accorded the widest scope consistent with the principles and features disclosed herein. To the extent necessary to achieve a complete understanding of the invention disclosed, the specification and drawings of  
20 all issued patents, patent publications, and patent applications cited in this application are incorporated herein by reference.

25 [0039] As will be appreciated by those of skill in the art, the practice of the present invention employs, unless otherwise indicated, conventional methods of x-ray imaging and processing, x-ray tomosynthesis, ultrasound including A-scan, B-scan and C-scan, computed tomography (CT scan), magnetic resonance imaging (MRI), optical coherence tomography, single

6750-0011.40/IT02-U06.PCT1

photon emission tomography (SPECT) and positron emission tomography (PET) within the skill of the art. Such techniques are explained fully in the literature and need not be described herein. See, e.g., X-Ray Structure Determination: A Practical Guide, 2nd Edition, editors Stout and Jensen, 5 1989, John Wiley & Sons, publisher; Body CT: A Practical Approach, editor Sloane, 1999, McGraw-Hill publisher; X-ray Diagnosis: A Physician's Approach, editor Lam, 1998 Springer-Verlag, publisher; and Dental Radiology: Understanding the X-Ray Image, editor Laetitia Brocklebank 1997, Oxford University Press publisher.

10 [0040] The present invention solves the need for methods to recreate natural or near natural relationships between two articular surfaces by providing methods for determining meniscal size and shape. Meniscal size and shape can be useful in designing therapies for the treatment of joint diseases including, for example, meniscal repair, 15 meniscal regeneration, and articular repair therapies.

#### I. ASSESSMENT OF JOINTS

20 [0041] The methods and compositions described herein can be used to treat defects resulting from disease of the cartilage (e.g., osteoarthritis), bone damage, cartilage damage, trauma, and/or degeneration due to overuse or age. The invention allows, among other things, a health practitioner to evaluate and treat such defects.

25 [0042] As will be appreciated by those of skill in the art, size, curvature and/or thickness measurements can be obtained using any suitable technique. For example, one dimensional, two dimensional, and/or three dimensional measurements can be obtained using suitable mechanical means, laser devices, electromagnetic or optical tracking systems, molds, materials applied to the articular surface that harden and "memorize the surface contour," and/or one or more imaging techniques

6750-0011.40/IT02-U06.PCT1

known in the art. Measurements can be obtained non-invasively and/or intraoperatively (e.g., using a probe or other surgical device). As will be appreciated by those of skill in the art, the thickness of the repair device can vary at any given point depending upon the depth of the damage to the cartilage and/or bone to be corrected at any particular location on an articular surface.

#### 5 A. IMAGING TECHNIQUES

10 [0043] As will be appreciated by those of skill in the art, imaging techniques suitable for measuring thickness and/or curvature (e.g., of cartilage and/or bone) or size of areas of diseased cartilage or cartilage loss include the use of x-rays, magnetic resonance imaging (MRI), computed tomography scanning (CT, also known as computerized axial tomography or CAT), optical coherence tomography, SPECT, PET, ultrasound imaging techniques, and optical imaging techniques. (See, 15 also, International Patent Publication WO 02/22014 to Alexander, et al., published March 21, 2002; U.S. Patent No. 6,373,250 to Tsoref et al., issued April 16, 2002; and Vandeberg et al. (2002) *Radiology* 222:430-436). Contrast or other enhancing agents can be employed using any route of administration, e.g. intravenous, intra-articular, etc.

20 [0044] In certain embodiments, CT or MRI is used to assess tissue, bone, cartilage and any defects therein, for example cartilage lesions or areas of diseased cartilage, to obtain information on subchondral bone or cartilage degeneration and to provide morphologic or biochemical or biomechanical information about the area of damage. Specifically, 25 changes such as fissuring, partial or full thickness cartilage loss, and signal changes within residual cartilage can be detected using one or more of these methods. For discussions of the basic NMR principles and techniques, see *MRI Basic Principles and Applications*, Second Edition,

6750-0011.40/IT02-U06.PCT1

Mark A. Brown and Richard C. Semelka, Wiley-Liss, Inc. (1999). For a discussion of MRI including conventional T1 and T2-weighted spin-echo imaging, gradient recalled echo (GRE) imaging, magnetization transfer contrast (MTC) imaging, fast spin-echo (FSE) imaging, contrast enhanced imaging, rapid acquisition relaxation enhancement (RARE) imaging, gradient echo acquisition in the steady state (GRASS), and driven equilibrium Fourier transform (DEFT) imaging, to obtain information on cartilage, see Alexander, et al., WO 02/22014. Thus, in preferred embodiments, the measurements produced are based on three-dimensional images of the joint obtained as described in Alexander, et al., WO 02/22014 or sets of two-dimensional images ultimately yielding 3D information. Two-dimensional and three-dimensional images, or maps, of the cartilage alone or in combination with a movement pattern of the joint, e.g. flexion – extension, translation and/or rotation, can be obtained. Three-dimensional images can include information on movement patterns, contact points, contact zone of two or more opposing articular surfaces, and movement of the contact point or zone during joint motion. Two and three-dimensional images can include information on biochemical composition of the articular cartilage. In addition, imaging techniques can be compared over time, for example to provide up-to-date information on the shape and type of repair material needed.

**[0045]** Any of the imaging devices described herein can also be used intra-operatively (see, also below), for example using a hand-held ultrasound and/or optical probe to image the articular surface intra-operatively.

**B. INTRAOPERATIVE MEASUREMENTS**

**[0046]** Alternatively, or in addition to, non-invasive imaging techniques described above, measurements of the size of an area of

6750-0011.40/IT02-U06.PCT1

diseased cartilage or an area of cartilage loss, measurements of cartilage thickness and/or curvature of cartilage or bone can be obtained intraoperatively during arthroscopy or open arthrotomy. Intraoperative measurements may or may not involve actual contact with one or more areas of the articular surfaces.

5 [0047] Devices suitable for obtaining intraoperative measurements of cartilage or bone or other articular structures, and to generate a topographical map of the surface include but are not limited to, Placido disks and laser interferometers, and/or deformable materials or devices. 10 (See, for example, U.S. Patent Numbers 6,382,028 to Wooh et al., issued May 7, 2002; 6,057,927 to Levesque et al., issued May 2, 2000; 5,523,843 to Yamane et al. issued June 4, 1996; 5,847,804 to Sarver et al. issued December 8, 1998; and 5,684,562 to Fujieda, issued November 4, 1997).

15 [0048] FIG. 1A illustrates a Placido disk of concentrically arranged circles of light. The concentric arrays of the Placido disk project well-defined circles of light of varying radii, generated either with laser or white light transported via optical fiber. The Placido disk can be attached to the end of an endoscopic device (or to any probe, for example a hand-held probe) so that the circles of light are projected onto the cartilage surface. 20 FIG. 1B illustrates an example of a Placido disk projected onto the surface of a fixed curvature. One or more imaging cameras can be used (e.g., attached to the device) to capture the reflection of the circles. Mathematical analysis is used to determine the surface curvature. The curvature can then, for example, be visualized on a monitor as a color-coded, topographical map of the cartilage surface. Additionally, a 25 mathematical model of the topographical map can be used to determine the ideal surface topography to replace any cartilage defects in the area analyzed.

6750-0011.40/1T02-U06.PCT1

**[0049]** FIG. 2 shows a reflection resulting from the projection of concentric circles of light (Placido disk) on each femoral condyle, demonstrating the effect of variation in surface contour on reflected circles.

5 **[0050]** Similarly a laser interferometer can also be attached to the end of an endoscopic device. In addition, a small sensor can be attached to the device in order to determine the cartilage surface or bone curvature using phase shift interferometry, producing a fringe pattern analysis phase map (wave front) visualization of the cartilage surface. The curvature can then be visualized on a monitor as a color coded, topographical map of the 10 cartilage surface. Additionally, a mathematical model of the topographical map can be used to determine the ideal surface topography to replace any cartilage or bone defects in the area analyzed. This computed, ideal surface, or surfaces, can then be visualized on the monitor, and can be used to select the curvature, or curvatures, of the replacement cartilage.

15 **[0051]** One skilled in the art will readily recognize that other techniques for optical measurements of the cartilage surface curvature can be employed without departing from the scope of the invention. For example, a 2-dimentional or 3-dimentional map, such as that shown in FIG. 3 and FIG. 4 can be generated.

20 **[0052]** Mechanical devices (e.g., probes) can also be used for intraoperative measurements, for example, deformable materials such as gels, molds, any hardening materials (e.g., materials that remain deformable until they are heated, cooled, or otherwise manipulated). See, e.g., WO 02/34310 to Dickson et al., published May 2, 2002. For example, 25 a deformable gel can be applied to a femoral condyle. The side of the gel pointing towards the condyle can yield a negative impression of the surface contour of the condyle. The negative impression can then be used to determine the size of a defect, the depth of a defect and the curvature of

6750-0011.40/1T02-U06.PCT1

the articular surface in and adjacent to a defect. This information can be used to select a therapy, e.g. an articular surface repair system. In another example, a hardening material can be applied to an articular surface, e.g. a femoral condyle or a tibial plateau. The hardening material can remain 5 on the articular surface until hardening has occurred. The hardening material can then be removed from the articular surface. The side of the hardening material pointing towards the articular surface can yield a negative impression of the articular surface. The negative impression can then be used to determine the size of a defect, the depth of a defect and 10 the curvature of the articular surface in and adjacent to a defect. This information can then be used to select a therapy, e.g. an articular surface repair system. In some embodiments, the hardening system can remain in place and form the actual articular surface repair system.

15 [0053] In certain embodiments, the deformable material comprises a plurality of individually moveable mechanical elements. When pressed against the surface of interest, each element can be pushed in the opposing direction and the extent to which it is pushed (deformed) can correspond to the curvature of the surface of interest. The device can include a brake mechanism so that the elements are maintained in the 20 position that conforms to the surface of the cartilage and/or bone. The device can then be removed from the patient and analyzed for curvature. Alternatively, each individual moveable element can include markers 25 indicating the amount and/or degree it is deformed at a given spot. A camera can be used to intra-operatively image the device and the image can be saved and analyzed for curvature information. Suitable markers include, but are not limited to, actual linear measurements (metric or empirical), different colors corresponding to different amounts of deformation and/or different shades or hues of the same color(s).

6750-0011.40/IT02-U06.PCT1

Displacement of the moveable elements can also be measured using electronic means.

5 [0054] Other devices to measure cartilage and subchondral bone intraoperatively include, for example, ultrasound probes. An ultrasound probe, preferably handheld, can be applied to the cartilage and the curvature of the cartilage and/or the subchondral bone can be measured. Moreover, the size of a cartilage defect can be assessed and the thickness of the articular cartilage can be determined. Such ultrasound measurements can be obtained in A-mode, B-mode, or C-mode. If A-mode 10 measurements are obtained, an operator can typically repeat the measurements with several different probe orientations, e.g. mediolateral and anteroposterior, in order to derive a three-dimensional assessment of size, curvature and thickness.

15 [0055] One skilled in the art will easily recognize that different probe designs are possible using the optical, laser interferometry, mechanical and ultrasound probes. The probes are preferably handheld. In certain embodiments, the probes or at least a portion of the probe, typically the portion that is in contact with the tissue, can be sterile. Sterility can be achieved with use of sterile covers, for example similar to those disclosed 20 in WO 99/08598A1 to Lang, published February 25, 1999.

25 [0056] Analysis on the curvature of the articular cartilage or subchondral bone using imaging tests and/or intraoperative measurements can be used to determine the size of an area of diseased cartilage or cartilage loss. For example, the curvature can change abruptly in areas of cartilage loss. Such abrupt or sudden changes in curvature can be used to detect the boundaries of diseased cartilage or cartilage defects.

6750-0011.40/IT02-U06.PCT1

## II. SEGMENTATION OF ARTICULAR CARTILAGE, BONE AND MENISCI

[0057] A semi-automated segmentation approach has been implemented based on the live wire algorithm, which provides a high degree of flexibility and therefore holds the potential to improve segmentation of osteoarthritic cartilage considerably. Images are optionally pre-processed using a non-linear diffusion filter. The live wire algorithm assigns a list of features to each oriented edge between two pixels (boundary element – *bel*) in an image. Using an individual cost function for each feature, the feature values are converted into cost values. The costs for each feature are added up by means of a predetermined weighting scheme, resulting in a single joint cost value between 0 and 1 for each *bel* *b* that expresses the likelihood of *b* being part of the cartilage boundary. To determine the contour of a cartilage object, the operator chooses a starting pixel *P*. Subsequently, the system calculates the least cost *bel* path from each image pixel to *P* with a dynamic programming scheme. When the operator selects another pixel, the system displays the calculated path from the current mouse position to *P* in real time. This current path can be frozen as part of the cartilage contour by the operator. This way, the operator has to assemble the desired contour in each slice from a number of pieces ("strokes").

[0058] The features of a *bel* *b* used with this segmentation technique are the gray values left and right of *b* and the magnitude of the gray level gradient across *b*.

[0059] As will be appreciated by those of skill in the art, all or a portion of the segmentation processes described can be automated as desired. As will be appreciated by those of skill in the art, other segmentation techniques including but not limited to thresholding, grey

6750-0011.40/IT02-U06.PCT1

level gradient techniques, snakes, model based segmentation, watershed, clustering, statistical segmentation, filtering including linear diffusion filtering can be employed.

### III. VALIDATION OF CARTILAGE SURFACE SEGMENTATION

5 [0060] In order to validate the accuracy of the segmentation technique for the articular cartilage surface, the cartilage surface extracted from MRI scans can be compared with results obtained from segmentation of the joint surface data which is acquired, for example, using a laser scanner after specimen dissection. The resulting two surfaces from MRI  
 10 and laser scan can be registered using the iterative closest point method, and the distance between each point on the MRI surface to the registered laser scan surface can be used to determine the accuracy of the MRI segmentation results. FIG. 5 shows the MRI and digitized surfaces before and after registration. The distance measurements for the two specimens  
 15 are shown in TABLE 1.

TABLE 1  
 DISTANCE CALCULATIONS BETWEEN SEGMENTED MRI  
 AND LASER DIGITIZED SURFACES (IN MM)

Specimen	Minimum Distance	Maximum Distance	Mean Distance M	Standard Deviation $\sigma$
1	3.60447e-05	2.10894	0.325663	0.312803
2	2.79092e-06	1.616828	0.262131	0.234424

20 [0061] In this example, the data illustrate that the average error between the segmented MRI surface and the laser scan surface is within the range of the resolution of the MRI scan. Thus, the segmentation approach yields an accuracy within the given MRI scan parameters.

6750-0011.40/IT02-U06.PCT1

#### IV. CALCULATION AND VISUALIZATION OF CARTILAGE THICKNESS DISTRIBUTION

[0062] A suitable approach for calculating the cartilage thickness is based on a 3D Euclidean distance transform (EDT). An algorithm by Saito and Toriwaki can be used to achieve computationally very fast (less than 5 sec for a 256x256x60 data set on a SGI O2) data processing. The algorithm functions by decomposing the calculation into a series of 3 one-dimensional transformations and uses the square of the actual distances. This process accelerates the analysis by avoiding the determination of 10 square roots. For initialization, voxels on the inner cartilage surface (ICS) are given a value of 0, whereas all other voxels, including the ones on the outer cartilage surface (OCS) are set to 1.

[0063] First, for a binary input picture  $F = \{f_{ijk}\}$  ( $1 \leq i \leq L, 1 \leq j \leq M, 1 \leq k \leq N$ ) a new picture  $G = \{g_{ijk}\}$  is derived using equation 1 ( $\alpha, \beta$ , and  $\gamma$  15 denote the voxel dimensions).

$$[0064] \quad g_{ijk} = \min_x \{(\alpha(i-x))^2; f_{ijk} = 0; 1 \leq x \leq L\} \quad [Eq. 1]$$

[0065] Thus, each point is assigned the square of the distance to the closest feature point in the same row in i-direction. Second, G is converted into H = {h<sub>ijk</sub>} using equation 2.

$$[0066] \quad h_{ijk} = \min_y \{g_{i,y,k} + (\beta(j-y))^2; 1 \leq y \leq M\} \quad [Eq. 2]$$

[0067] The algorithm searches each column in j-direction. According to the Pythagorean theorem, the sum of the square distance between a point (i,j,k) and a point (i,y,k) in the same column,  $(\beta(j-y))^2$ , and the square distance between (i,y,k) and a particular feature point, g<sub>i,y,k</sub>, equals the square distance between the point (i,j,k) and that feature point. The minimum of these sums is the square distance between (i,j,k) and the closest feature point in the two-dimensional i-j-plane.

6750-0011.40/IT02-U06.PCT1

[0068] The third dimension is added by equation 3, which is the same transformation as described in equation 2 for the k-direction.

[0069]  $s_{yk} = \min_z \{h_{yz} + (\gamma(k-z))^2; 1 \leq z \leq N\}$  [Eq. 3]

[0070] After completion of the EDT, the thickness of the cartilage for a given point (a,b,c) on the OCS equals the square root of  $s_{abc}$ . This results in a truly three-dimensional distance value determined normal to the ICS. The x, y, and z position of each pixel located along the bone-cartilage interface is registered on a 3D map and thickness values are translated into color values. In this fashion, the anatomic location of each pixel at the bone-cartilage interface can be displayed simultaneously with the thickness of the cartilage for that given location (FIG. 6).

[0071] As will be appreciated by those of skill in the art, other techniques for calculating cartilage thickness can be applied, for example using the LaPlace equation, without departing from the scope of the invention.

#### V. CALCULATION AND VISUALIZATION OF CARTILAGE CURVATURE DISTRIBUTION

[0072] Another relevant parameter for the analysis of articular cartilage surfaces is curvature. In a fashion similar to the thickness map, a set of curvature maps can be derived from the cartilage surface data that is extracted from the MRI.

[0073] A local bi-cubic surface patch is fitted to the cartilage surface based on a sub-sampling scheme in which every other surface point is used to generate a mesh of 5 x 5 point elements. Thus, before performing the fit the density of the data is reduced in order to smooth the fitted surface and to reduce the computational complexity.

6750-0011.40/IT02-U06.PCT1

**[0074]** After computation of the local bi-cubic surface fits, the unit normal vectors  $\{n\}$  are implicitly estimated from the surface fit data. The corresponding curvature and its orientation are then given by:

$$\kappa_i = \arccos(n_0 \cdot n_i) / ds_i = d\theta / ds_i,$$

5 where  $n_0$  is the unit normal vector at the point  $(u, v)$  where the curvature is being estimated and  $n_i$  ( $i = 1, \dots, 24$ ) are the unit normal vectors at each one of the surrounding points in the  $5 \times 5$  local surface patch. FIG. 6 shows an example of the maximum principal curvature maps (value and direction), estimated using the bi-cubic surface patch fitting approach.

10 As will be appreciated by those of skill in the art, other techniques, such as n-degree polynomial surface interpolation or approximation, parametric surface interpolation or approximation and different discrete curvature estimation methods for measuring curvature or 3D shape can be applied.

15 **VI. FUSION OF IMAGE DATA FROM MULTIPLE PLANES**

**[0075]** Recently, technology enabling the acquisition of isotropic or near-isotropic 3-dimensional image data has been developed. However, most MRI scans are still acquired with a slice thickness that is 3 or more times greater than the in-plane resolution. This leads to limitations with 20 respect to 3D image analysis and visualization. The structure of 3-dimensional objects cannot be described with the same accuracy in all three dimensions. Partial volume effects hinder interpretation and measurements in the z-dimension to a greater extent than in the x-y plane.

**[0076]** To address the problems associated with non-isotropic 25 image resolutions, one or more first scans S1 are taken in a first plane. Each of the first scans are parallel to each other. Thereafter, one or more second scans S2 are taken with an imaging plane oriented to the first scan

6750-0011:40/IT02-U06.PCT1

S1 so that the planes intersect. For example, scans S1 can be in a first plane while scans S2 are in a plane perpendicular to the first plane. Additional scans in other planes or directions, e.g., S3, S4 ... Sn, can also be obtained in addition to the perpendicular scans or instead of the 5 perpendicular scans. S2, and any other scans, can have the same in-plane resolution as S1. Any or all of the scans can also contain a sufficient number of slices to cover the entire field of view of S1. In this scenario, two data volumes with information from the same 3D space or overlapping 3D spaces can be generated.

10 [0077] Data can be merged from these two scans to extract the objects of interest in each scan independently. Further, a subsequent analysis can combine these two segmented data sets in one coordinate system, as is shown in FIG. 6. This technique is helpful in outlining the boundaries of objects that are oriented parallel to the imaging plane of S1, 15 but therefore will be perpendicular to the imaging plane of S2.

20 [0078] For quantitative measurements, such as determining the cartilage volume, it can be advantageous to combine S1 and S2 directly into a third data volume. This third data volume is typically isotropic or near-isotropic with a resolution corresponding to the in-plane resolution of S1 and S2, thus reducing partial volume effects between slices (FIG. 7). S1 and S2 can first be registered into the same coordinate system. If both scans are acquired during the same session (without moving the patient between scans), the image header information is used to obtain the transformation matrix. Otherwise, a mutual information-based rigid 25 registration is applied. The gray value for each voxel V of the third data volume is calculated as follows:

(1) determine the position in 3D space for V;

6750-0011.40/IT02-U06.PCT1

- (2) determine the gray values in S1 and S2 at this position;
- (3) interpolate the two gray values into a single gray value G; and
- 5 (4) assign G to V.

As an alternative to fusion of two or more imaging planes, data can be obtained with isotropic or near isotropic resolution. This is possible, for example, with spiral CT acquisition technique or novel MRI pulse sequence such as 3D acquisition techniques. Such 3D acquisition techniques include 3D Driven Equilibrium Transfer (DEFT), 3D Fast Spin-Echo (FSE), 3D SSFP (Steady State Free Precession), 3D Gradient Echo (GRE), 3D Spoiled Gradient Echo (SPGR), and 3D Flexible Equilibrium MR (FEMR) techniques. Images can be obtained using fat saturation or using water selective excitation. Typically, an isotropic resolution of 0.5 x 0.5 x 0.5 mm or less is desirable, although in select circumstances 1.0 x 1.0 x 1.0 and even larger can yield adequate results. With near isotropic resolution, the variation in voxel dimensions in one or more planes does not usually exceed 50%.

## VII. IN VIVO MEASUREMENT OF MENISCAL DIMENSIONS

20 [0079] The dimensions and shape of a personalized interpositional arthroplasty system can be determined by measuring a patient's meniscal shape and size and by evaluating the 3D geometry of the articular cartilage. Many osteoarthritis patients, however, have torn menisci, often times with only small or no meniscal remnants. In these patients, the 25 shape of a personalized interpositional arthroplasty system can be determined by acquiring measurements of surrounding articular surfaces and structures.

6750-0011.40/IT02-U06.PCT1

[0080] In the knee, for example, a few measurements can be made on the femoral and tibial bone in MR images of the diseased knee. For optimal fit, the shape of the superior surface of the implant should resemble that of the superior surface of the respective meniscus.

5 Measurements of the bones can help determine how well meniscal dimensions can be predicted.

[0081] FIG. 8A illustrates an axial view of a meniscus 100. The meniscus has a maximum anterior-posterior distance 1, and a maximum medial lateral distance 2. In the knee, the meniscus compensates for an anterior horn and a posterior which each have a maximum length 3, 5 and width 9, 11. The body itself has a maximum length 4 and width 10 which are a function of the patient's anatomy. FIG. 8B illustrates a sagittal view of the meniscus in FIG. 8A. The meniscus 100 has a maximum height 6, 8 which correlates to the maximum height of the anterior horn and the posterior horn. FIG. 8C illustrates a coronal view of the meniscus 100. From the coronal view it is apparent that the body has a maximum and minimum height.

[0082] Turning now to FIG. 9A, a sagittal view of a tibia 110 is shown. The tibia has a maximum anterior-posterior distance 12. FIG. 9B illustrates the coronal view of the tibia 110 shown in FIG. 9A. From the sagittal view it is apparent that the tibia has a maximum medial-lateral distance 13, a maximum distance from the tibial spine to the edge 14, and a width 15.

[0083] The tibia mates with the femur 120, which is shown in a sagittal view in FIG. 10A. The femur has a maximum anterior-posterior distance 16 and a maximum superior-interior distance 17. From the coronal view shown in FIG. 10B the maximum medial-lateral distance 18,

6750-0011.40/IT02-U06.PCT1

the distance from the trochlea to the edge **19**, and the width of the intercondylar notch **20** is apparent.

5 [0084] A Pearson's correlation coefficient  $r$  can be obtained for a variety of measurements to assess how well one variable is expressed by another variable. Suitable measurements include, for example, the following measurements:

- 10 □ antero-posterior (AP) length of medial (lateral) meniscus with AP length of medial (lateral) femoral condyle;
- 15 □ AP length of medial (lateral) meniscus with AP length of medial (lateral) tibial plateau;
- 20 □ medio-lateral (ML) width of medial (lateral) meniscus with ML width of medial (lateral) femoral condyle;
- 25 □ ML width of medial (lateral) meniscus with ML width of medial (lateral) tibial plateau;
- 30 □ Y coordinate of highest point of medial (lateral) meniscus with y coordinate of highest point of medial (lateral) tibial spine;
- 35 □ X coordinate of medial (lateral) margin of medial (lateral) meniscus with x coordinate of medial (lateral) margin of medial (lateral) femoral condyle; and
- 40 □ X coordinate of medial (lateral) margin of medial (lateral) meniscus with x coordinate of medial (lateral) margin of medial (lateral) tibial plateau.

45 [0085] Examples of measurements obtained are summarized in TABLE 2.

TABLE 2  
CORRELATION BETWEEN MENISCAL DIMENSIONS AND DIMENSIONS OF FEMORAL AND TIBIAL BONE

Measurement	Imaging Plane <sup>a</sup>	N	Pearson's $r$
-------------	----------------------------	---	---------------

6750-0011.40/IT02-U06.PCT1

**TABLE 2**  
**CORRELATION BETWEEN MENISCAL DIMENSIONS AND DIMENSIONS OF FEMORAL AND TIBIAL BONE**

Measurement	Imaging Plane	N	Pearson's <i>r</i>
AP Length: medial meniscus – medial femoral condyle	Sagittal	23	0.74
AP Length: lateral meniscus – lateral femoral condyle	Sagittal	24	0.73
AP Length: medial meniscus – medial tibial plateau	Sagittal	23	0.79
AP Length: lateral meniscus – lateral tibial plateau	Sagittal	24	0.27
ML Width: menisci – femur	Coronal	12	0.91
ML Width: menisci – tibia	Coronal	12	0.92
ML Width: menisci – medial femoral condyle	Coronal	12	0.81
ML Width: menisci – lateral femoral condyle	Coronal	12	0.65
ML Width: menisci – medial tibial plateau	Coronal	12	0.86
ML Width: menisci – lateral tibial plateau	Coronal	12	0.48
ML Width: medial meniscus – medial femoral condyle	Coronal	12	0.95
ML Width: lateral meniscus – lateral femoral condyle	Coronal	12	0.45
ML Width: medial meniscus – medial tibial plateau	Coronal	12	0.69
ML Width: lateral meniscus – lateral tibial plateau	Coronal	12	0.34
ML Length: medial meniscus – lateral meniscus	Coronal	12	0.12
Meniscal Height: medial meniscus – lateral meniscus	Coronal	12	0.01
Meniscal Height: Medial meniscal height – medial femoral height	Coronal	12	0.22
Meniscal Height: Lateral meniscal height – lateral femoral height	Coronal	12	0.22
Meniscal Height: Medial meniscal height – medial tibial height	Coronal	12	0.55

6750-0011.40/IT02-U06.PCT1

TABLE 2  
CORRELATION BETWEEN MENISCAL DIMENSIONS AND DIMENSIONS OF FEMORAL AND TIBIAL BONE

Measurement	Imaging Plane	N	Pearson's r
Meniscal Height: Lateral meniscal height – lateral tibial height	Coronal	12	0.17
Highest Point (y coordinate): medial meniscus – medial tibial spine	Coronal	12	0.99
Highest Point (y coordinate): lateral meniscus – lateral tibial spine	Coronal	12	0.90
Medial margin (x-coordinate): medial meniscus – femoral condyle	Coronal	12	1.00
Lateral margin (x-coordinate): lateral meniscus – lateral femoral condyle	Coronal	12	1.00
Medial Margin (x-coordinate): medial meniscus – medial tibial plateau	Coronal	12	1.00
Lateral Margin (x-coordinate): lateral meniscus – lateral tibial plateau	Coronal	12	1.00

[0086] The Pearson's coefficient determines the relationship between two sizes that are measured. The higher the correlation, the better the relationship between two measurements. From the data in 5 TABLE 2, it becomes evident that, in the knee, the AP length of both medial and lateral menisci can be predicted well by measuring the length of the respective femoral condyle. For the medial meniscus, the length of the medial tibial plateau can also be used. The ML width of the medial femoral condyle is a good predictor for the width of the medial meniscus. The 10 height of the medial and lateral tibial spines correlates well with the height of the respective menisci. Correlations between ML width of the lateral meniscus and width of the lateral femoral condyle and tibial spine are lower due to a high variability of the most lateral point of the lateral meniscus. As opposed to these outermost points of the lateral meniscus, the 15 main margins correlate very well with the margins of the tibia and

6750-0011.40/1T02-U06.PCT1

femur. This is also the case for the medial meniscus. Consequently, the outer margins of medial and lateral menisci can be determined.

[0087] These results show that meniscal dimensions can be predicted in a reliable fashion by measuring bony landmarks in MR images. Where the Pearson's coefficient is high (e.g., close to 1), the two measurements can, in effect, be used interchangeably to represent the measurement desired. Where the Pearson's coefficient is low (e.g., 0.34), a correction factor may be applied to the measurement. The measurement as corrected may then equal or approximate the corresponding measurement. In some instances, use of a correction factor may not be feasible or desired. In that instance, other approaches, such as logistic regression and multivariate analysis, can be used as an alternative without departing from the scope of the invention.

[0088] A person of skill in the art will appreciate that while this data has been presented with respect to the meniscus in the knee and measurement of knee anatomy relative thereto, similar results would occur in other joints within a body as well. Further, it is anticipated that a library of measurements can be created, for example for generating one or more correlation factors that can be used for a particular joint. For example, a single correlation factor can be generated using a plurality of measurements on different subjects.

[0089] Alternatively, a plurality of correlation factors can be generated based on, for example, joint assessed, size, weight, body mass index, age, sex of a patient, ethnic background. In this scenario, a patient seeking treatment can be assessed. Measurements can be taken of, for example, the medial femoral condyle. The correlation factor for the medial femoral condyle in the patient can then be compared to a correlation factor calculated based on samples wherein the sample patients had the same,

6750-0011.40/IT02-U06.PCT1

or were within a defined range for factors, including for example: size, weight, age and sex.

### VIII. SURFACE DIGITIZATION

**[0090]** Digitized surface data from menisci of cadaveric specimens for generation of a generic meniscal model can be acquired using a 5 Titanium FaroArm® coordinate measurement machine (CMM) (FARO Technologies Inc., Lake Mary, FL).

### IX. 3D DESIGN TECHNIQUES FOR ANATOMICALLY CORRECT INTERPOSITIONAL ARTHROPLASTY SYSTEM

10 **[0091]** The design workflow for each implant can consist of a combination of one or more of the following steps:

- a. Fusion of the sagittal and coronal 3D SPGR or 2D or 3D FSE data or other sequences for a joint;
- b. Segmentation of point data from the cartilage surface of a 15 joint;
- c. Fusion of the sagittal and coronal 2D or 3D FSE or 2D SE data or other sequences of a joint;
- d. Segmentation point data of the superior meniscal surface;
- e. Combination of cartilage surface data and meniscal surface 20 data to serve as model for a surface of an implant;
- f. Compression of a meniscal surface by factor ranging from 0.2 to 0.99;
- g. Conversion of point cloud data for a superior and an inferior 25 implant surface into parametric surface data; and
- h. Cutting of parametric surface data sets to determine exact shape of implant.

**[0092]** In many patients with advanced osteoarthritis, however, the meniscus is, to a great extent, depleted, and therefore cannot serve

6750-0011.40/IT02-U06.PCT1

directly as a template from which the superior implant surface can be derived. In these cases, dimensions of the remaining joint bone, can be used to adjust the size of a generic meniscal model, which can then serve as a template for the implant.

5           X.    DERIVATION OF IMPLANT SURFACES FROM CARTILAGE AND  
                  HEALTHY MENISCAL SURFACES

[0093]       The superior surface of an implant can be modeled based on the superior meniscal surface and the joint cartilage surface in those areas that are not covered by the meniscus. Therefore, after the slice-by-slice segmentation of the superior meniscal surface from the SE or FSE or other MRI images and the tibial cartilage surface from the 3D SPGR or FSE or other MRI images, both data sets will be combined (Fig. 11a-c). To determine the composite surface for the prosthesis, the intersection between the two surfaces is located. In the event that the two surfaces do not intersect in a particular slice, the intersection between the tangential line through the central end of the meniscal surface with the tibial surface will be calculated (Fig. 11a). To account for natural compression of the elastic meniscus under load, its height can be adjusted, for example, to 60% of the original height (Fig. 11b). For this purpose, each point on the meniscal surface is connected to the closest point on the cartilage surface. The new point for the adjusted meniscal surface is chosen at 60% of the distance from the tibial cartilage surface.

[0094]       As will be appreciated by those of skill in the art, a variety of other adjustment ratios can be used without departing from the scope of the invention. Suitable adjustment ratios will vary depending on patient physiology and desired degree of correction and include, for example, ratios that range from 0.2 to 1.5. The amount of height adjustment of the implant relative to the natural meniscus will vary depending upon the

6750-0011.40/IT02-U06.PCT1

material that the implant is manufactured from. For example, where the implant is manufactured from a material having a high degree of elasticity, it may be desirable to use an adjustment greater than 1. Where the material has a low degree of elasticity, the adjustment is likely to approach 5. The appropriate adjustment will also depend upon the joint for which the implant is manufactured. Thus, for example, an implant manufactured for the knee using a material with a low degree of elasticity can have an adjustment of between 50-70%, while an implant manufactured for the shoulder also using a material with a low degree of elasticity may have a 10 desired adjustment of 60-80%. Persons of skill in the art will appreciate that the correction factor for an implant will vary depending upon the target joint and the properties of the material from which the implant is manufactured.

**[0095]** The adjustment ratio can also vary depending on the location 15 within a joint with a plurality of ratios possible for any given design. For example, in a knee joint, an adjustment ratio close to 0.8 can be used anteriorly, while an adjustment ratio close to 0.5 can be used posteriorly. Additionally, more adjustment ratios can be selected such that the 20 adjustment ratio gradually changes, for example, anteriorly, depending on the anticipated biomechanics of the joint. Changes can also be made to the adjustment ratio as a result of patient specific parameters such as age, sex, weight, ethnicity, and activity level. The adjustment ratio can be selected in order to achieve an optimal biomechanical or functional result. In vitro cadaveric testing, constraint testing, testing of contact surface, 25 fatigue testing and robotic testing can, for example, be used for determining the optimal adjustment ratio(s) for an implant.

**[0096]** Finally, to determine the shape of the superior surface of the implant, the compressed meniscal surface can be combined with the

6750-0011,40/1T02-U06.PCT1

portion of the tibial cartilage surface that is not covered by the meniscus. The shape of, for example, an inferior surface of the implant can be derived from the entire cartilage surface (FIG.11c) or the subchondral bone surface. The latter can be used, for example, if there is significant  
5 eburnation of the joint and most of the cartilage has been lost.

#### XI. DERIVATION OF SUPERIOR IMPLANT SURFACE IN CASE OF DAMAGED MENISCUS

[0097] In patients with a damaged or degenerated meniscus or those that had a prior meniscectomy, the meniscal surface cannot be used  
10 as a template for an implant surface as described above. In these cases, a generic meniscal model can be used to design the desired implant surface.

[0098] The generic meniscal model can be generated from data that is, for example, collected from cadaveric femoral specimens using a  
15 Titanium FaroArm as described above. Alternatively, a laser scanning device or an optical device can be used. In this instance, meniscal surface data can be digitized, for example, from ten frozen cadaveric tibial specimens. All surface data sets obtained can then be matched for size differences using, for example, an affine surface registration scheme. The  
20 matched surface points after registration can then be merged into a single point cloud. A generic meniscal surface,  $S_g$ , can be fitted through a point cloud using a least-squares optimization, resulting in a "mean" surface of the ten specimens.

[0099] Typically, dimensions of healthy menisci correlate well with  
25 dimensions of bony landmarks. Therefore, measurements of bony landmarks in an MRI can be used to reconstruct the dimensions of the healthy meniscus (see, e.g., TABLE 2, above). The antero-posterior length  $L$  will be calculated from the length of the femoral condyle. For determining

6750-0011.40/IT02-U06.PCT1

medio-lateral meniscal width  $W$ , we can use the position of the medial margin of the tibia for the medial meniscus and the lateral tibial margin for the lateral meniscus. The height  $H$  can be derived from the highest point of the tibial spine.

5 [0100] Once the values  $L$ ,  $W$ , and  $H$  have been determined,  $S_g$  can be deformed accordingly. Each point  $P$  in  $S_g$  with the coordinates  $(x, y, z)$  can be transformed into a new point  $P'$  using Equation 4:

$$P' = (x', y', z') = \left( \frac{L}{L_g} \cdot x, \frac{W}{W_g} \cdot y, \frac{H}{H_g} \cdot z \right) \quad [Eq. 4]$$

10 where  $L_g$ ,  $W_g$ , and  $H_g$  are the respective dimensions of  $S_g$ . The transformed points  $P'$  can form the meniscal surface  $S$  that will be used as a template for designing the superior implant surface as described in the previous section.

## XII. FINAL STEPS OF IMPLANT DESIGN

15 [0101] The first and second implant surfaces derived from an MR image, as described above, consist of point clouds. The point clouds can be converted into a data format that then can be manipulated in, for example, a CAD system. The Surface Patch function in the surface modeling program Rhinoceros can be used to approximate a smooth surface patch to the point cloud data (FIG. 12). This surface can then be exported in the IGES format to be read by the CAD software. Other software programs can be used without departing from the scope of the invention. For example, Pro/Engineer, Solid Edge, Alibre and IronCAD are also suitable programs.

6750-0011.40/IT02-U06.PCT1

[0102] Using the CAD software SolidWorks, the superior and inferior surfaces can be combined into one design model. Both surfaces can be clipped using the outer meniscal edge as a margin (FIG. 11).

[0103] From this information, joint implants can be designed that take into consideration the dimensions. FIGS. 13A and B are views of a joint implant suitable for use on a condyle of the femur. These views are shown from the inferior and superior surface viewpoints. The surfaces, edges and height of the implant can be adjusted to account for the measurements taken to achieve an implant with an optimal patient fit. FIG. 14 is a view of an implant suitable for placement in a joint knee and placed on a portion of the tibial plateau. FIGS. 15A-D are views of an implant suitable for the hip. These implants can also be designed so that the surfaces, edges and height of the implants can be adjusted to account for the measurements taken as well as the patient specific criteria, as appropriate or desirable.

### XIII. ACCURACY OF 3D IMAGING AND 3D SIZING TECHNIQUES FOR DERIVING 3D SHAPE OF IMPLANT

[0104] In order to determine how much the predicted meniscal surface, calculated from the generic model, differs from the true shape of the meniscus, healthy volunteers can be examined. Suitable spiral CT, also with intravenous or intra-articular contrast enhancement, or MRI images can be acquired, from which medial and lateral menisci can then be extracted using live wire segmentation, or other suitable mechanisms. Furthermore, the generic models for the medial and lateral meniscus can be fitted as described above. For each subject, the medial and lateral meniscus that was segmented from the MRI can be compared to the fitted models as follows:

6750-0011.40/IT02-U06.PCT1

1. For each point  $P=(x,y,z)$  in the segmented data set choose the closest point  $P_1=(x_1,y_1,z_1)$  from the fitted model with  $z_1 \geq z$  and the two closest points  $P_2=(x_2,y_2,z_2)$  and  $P_3=(x_3,y_3,z_3)$  with  $z_2, z_3 \leq z$ .
- 5 2. The point  $P$  is projected orthogonally onto the plane defined by  $P_1, P_2$  and  $P_3$ . The projected point  $P'$  is given by:

$$P' = P - \frac{(P - P_1, \mathbf{n})}{(\mathbf{n}, \mathbf{n})} \mathbf{n}$$

where  $\mathbf{n}$  is the normal to the plane and  $(\cdot, \cdot)$  denotes the dot product.

- 10 3. Calculate the distance  $d_1$  between  $P$  and the plane, given by
  - i.  $d_1 = \|P' - P\|$ .
4. Repeat 1-3 with  $P_1=(x_1,y_1,z_1)$  such that  $z_1 \leq z$  and  $P_2=(x_2,y_2,z_2)$  and  $P_3=(x_3,y_3,z_3)$  such that  $z_2, z_3 \geq z$ , resulting in  $d_2$ .
- 15 5. Calculate the mean distance for  $P$ :  $d(P) = (d_1 + d_2)/2$ .
6. Calculate the total distance measure  $D$  over all points in the segmented data set:  $D = \sum_P d(P)$ .

20 [0105] The total distance measure  $D$  depends on the relative position of the segmented MRI data and the fitted model in the coordinate system. This relative position can be optimized to minimize  $D$  by adjusting the rigid body transformation  $T$  that positions the model in an iterative registration process based on the iterative closest point algorithm, using  $D(T)$  as a cost function.

25 [0106] Typically, it is anticipated that the accuracy of this fitting approach is sufficient if the average distance  $D/n$ , where  $n$  is the number of points in the segmented data, is below 1.5 mm.

6750-0011.40/IT02-U06.PCT1

[0107] The foregoing description of embodiments of the present invention has been provided for the purposes of illustration and description. It is not intended to be exhaustive or to limit the invention to the precise forms disclosed. Many modifications and variations will be apparent to the practitioner skilled in the art. The embodiments were chosen and described in order to best explain the principles of the invention and its practical application, thereby enabling others skilled in the art to understand the invention and the various embodiments and with various modifications that are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims and equivalents.

6750-0011.40/IT02-U06.PCT1

**WHAT IS CLAIMED:**

1. A method to treat a defect in a joint comprising:
  - obtaining an image of a joint; and
  - generating at least one of a thickness map and a curvature map.
- 5 2. The method of claim 1 further comprising one or more of the steps of:
  - pre-processing the image obtained using a non-linear diffusion filter;
  - assigning a list of features to each oriented edge between at least two pixel boundaries;
  - 10 converting the features into cost values; and
  - calculating a single cost value.
3. The method of claim 2 further comprising the step of:
  - determining the likelihood of a cost value being a part of a cartilage boundary.
- 15 4. The method of claims 1 and 3 further comprising the step of:
  - determining a contour of a cartilage object by selecting at least a first pixel and a second pixel.
5. The method of claim 4 wherein the first pixel and the second pixel are automatically selected.
- 20 6. The method of claim 4 wherein the first pixel and the second pixel are selected by an operator.
7. The method of claim 1 further comprising the step of:
  - calculating cartilage thickness.

6750-0011.40/IT02-U06.PCT1

8. The method of claim 7 wherein the step of calculating cartilage thickness is performed using a 3D Euclidean Transform.

5 9. The method of claim 7 wherein the step of calculating cartilage thickness is performed by decomposing the calculation into a series of three one-dimensional transformations.

10. The method of claim 9 further wherein the one-dimensional transforms are squared to obtain a set of distances.

11. The method of claim 8 wherein a thickness of cartilage for a point on an outer cartilage surface is calculated based on the square root of  $s_{abc}$ .

12. The method of claim 1 further wherein an implant is designed from the generated thickness map.

13. The method of claim 1 wherein the defect is a result of at least one of cartilage disease, bone damage, trauma, and degeneration.

15 14. The method of claims 9, 11, and 12 wherein the thickness is a measured thickness and is used to design an implant for treating the defect in the joint.

15. The method of claim 14 wherein the measured thickness is adjusted on at least a portion of the implant based on a quality of a material selected to manufacture the implant.

20 16. The method of claim 15 wherein the measured thickness is adjusted to a final implant thickness on at least a portion of the implant by a factor of from 0.2 to 1.5 of the measured thickness.

17. An implant suitable for treating a defect in a joint wherein the 25 implant is designed by:

obtaining an image of a joint; and

6750-0011.40/IT02-U06.PCT1

generating at least one of a thickness map and a curvature map.

18. The method of claim 17 further comprising one or more of the steps of:

5 processing the image obtained using a non-linear diffusion filter;

assigning a list of features to each oriented edge between at least two pixel boundaries;

converting the features into cost values; and

calculating a single cost value.

19. The method of claim 18 further comprising the step of:

10 determining the likelihood of a cost value being a part of a cartilage boundary.

20. The method of claims 17 and 18 further comprising the step of: determining a contour of a cartilage object by selecting at least a first pixel and a second pixel.

15 21. The method of claim 20 wherein the first pixel and the second pixel are automatically selected.

22. The method of claim 20 wherein the first pixel and the second pixel are selected by an operator.

20 23. The method of claim 17 further comprising the step of calculating cartilage thickness.

24. The method of claim 23 wherein the step of calculating cartilage thickness is performed using a 3D Euclidean Transform.

25 25. The method of claim 23 wherein the step of calculating cartilage thickness is performed by decomposing the calculation into a series of three one-dimensional transformations.

6750-0011.40/IT02-U06.PCT1

26. The method of claim 25 further wherein the one-dimensional transforms are squared to obtain a set of distances.
27. The method of claim 24 wherein a thickness of cartilage for a point on an outer cartilage surface is calculated based on the square root of  $s_{abc}$ .  
5
28. The method of claim 17 wherein the defect is a result of at least one of cartilage disease, bone damage, trauma, and degeneration.
29. The method of claims 25, 27 and 28 wherein the thickness is a measured thickness and is used to design an implant for treating the defect in the joint.  
10
30. The method of claim 29 wherein the measured thickness is adjusted on at least a portion of the implant based on a quality of a material selected to manufacture the implant.
31. The method of claim 29 wherein the measured thickness is adjusted to a final implant thickness on at least a portion of the implant by a factor of from 0.2 to 1.5 of the measured thickness.  
15
32. A method to treat a defect in a joint comprising:  
obtaining an image of a joint;  
processing the image obtained using a non-linear diffusion filter;  
20 and  
generating a cartilage curve.
33. The method of claim 32 further comprising the steps of:  
fitting a local bi-cubic surface path to the cartilage curve.
34. The method of claim 32 wherein the bi-cubic surface patch is automatically selected.  
25

6750-0011.40/IT02-U06.PCT1

35. The method of claim 32 wherein the bi-cubic surface patch is selected by an operator.
36. The method of claim 32 further comprising the step of calculating cartilage thickness.
- 5 37. The method of claim 36 wherein the step of calculating cartilage thickness is performed using a 3D Euclidean Transform.
38. The method of claim 36 wherein the step of calculating cartilage thickness is performed by decomposing the calculation into a series of three one-dimensional transformations.
- 10 39. The method of claim 38 further wherein the one-dimensional transforms are squared to obtain a set of distances.
40. The method of claim 37 wherein a thickness of cartilage for a point on an outer cartilage surface is calculated based on the square root of  $s_{abc}$ .
- 15 41. The method of claim 32 further wherein an implant is designed from the cartilage curve.
42. The method of claims 38, 40 and 41 wherein the thickness is a measured thickness and is used to design an implant for treating the defect in the joint.
- 20 43. The method of claim 42 wherein the measured thickness is adjusted on at least a portion of the implant based on a quality of a material selected to manufacture the implant.
44. The method of claim 42 wherein the measured thickness is adjusted to a final implant thickness on at least a portion of the implant by a factor of from 0.2 to 1.5 of the measured thickness.
- 25

6750-0011.40/IT02-U06.PCT1

45. An implant for correcting a defect in a joint wherein the implant size is determined by estimating meniscal dimensions based on measurements of at least one of cartilage and bone landmarks within the joint.

5

46. The implant of claim 45 wherein the joint is a knee joint.

10

47. The implant of claim 46 wherein the measurement is selected from the group consisting of: a length of a medial meniscus; a length of a medial femoral condyle; a length of a lateral meniscus; a length of a lateral femoral condyle; a length of a medial tibial plateau; a length of a lateral tibial plateau; a width of a medial meniscus; a width of a medial femoral condyle; a width of a lateral meniscus; a width of a lateral femoral condyle; a width of a medial tibial plateau; a width of a lateral tibial plateau; a highest point of a medial meniscus; a highest point of a medial tibial spine; a highest point of a lateral meniscus; a highest point of a lateral tibial spine; a highest point of a femoral condyle; and a highest point of a lateral femoral condyle, cartilage thickness and cartilage curvature and 3D cartilage shape.

15

48. The implant of claim 45 wherein the joint is an ankle joint.

20

49. The implant of claim 48 wherein the measurement is selected from the group consisting of: the diameter of a talus, the length of a talus, the width of a talus, the curvature of a talus, the cartilage thickness of a talus, the subchondral bone thickness of a talus, the diameter of a calcaneus, the length of a calcaneus, the width of a calcaneus, the curvature of a calcaneus, the cartilage thickness of a calcaneus, the subchondral bone thickness of a calcaneus.

25

50. The implant of claim 45 wherein the joint is a hip joint.

6750-0011.40/IT02-U06.PCT1

51. The implant of claim 50 wherein the measurement is selected from the group consisting of the mediolateral diameter of an acetabular fossa, the anteroposterior diameter of the acetabular fossa, the superoinferior diameter of the acetabular fossa, the curvature of the acetabular fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the articular cartilage of the acetabular fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the subchondral bone of the acetabular fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the mediolateral diameter of a femoral head, the anteroposterior diameter of the femoral head, the superoinferior diameter of the femoral head, the curvature of the femoral head anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the articular cartilage of the femoral head anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the subchondral bone of the femoral head anteriorly, posteriorly, superiorly, inferiorly, medially or laterally.

52. The implant of claim 45 wherein the joint is a shoulder joint.

53. The implant of claim 52 wherein the measurement is selected from the group consisting of the mediolateral diameter of a glenoid fossa, the anteroposterior diameter of the glenoid fossa, the superoinferior diameter of the glenoid fossa, the curvature of the glenoid fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the articular cartilage of the glenoid fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the subchondral bone of the glenoid fossa anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the mediolateral diameter of a humeral head, the anteroposterior diameter of the humeral head, the superoinferior diameter of the humeral head, the curvature of the humeral head

6750-0011.40/IT02-U06.PCT1

anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the articular cartilage of the humeral head anteriorly, posteriorly, superiorly, inferiorly, medially or laterally, the thickness of the subchondral bone of the humeral head anteriorly, posteriorly, superiorly, 5 inferiorly, medially or laterally.

54. The implant of claim 45 wherein the joint is an elbow joint.

55. The implant of claim 54 wherein the measurement is selected from the group consisting of the diameter of a distal humerus, the depth of a distal humerus, the width of a distal humerus, the curvature of a 10 distal humerus, the cartilage thickness of a distal humerus, the subchondral bone thickness of a distal humerus, the diameter of a radius, the depth of a radius, the width of a radius, the curvature of a radius, the cartilage thickness of a radius, the subchondral bone thickness of a radius, the diameter of an ulna, the depth of an ulna, the width of an ulna, the 15 curvature of an ulna, the cartilage thickness of an ulna, the subchondral bone thickness of an ulna

56. The implant of claim 45 wherein the joint is a wrist joint.

57. The implant of claim 56 wherein the measurement is selected from the group consisting of the diameter of a radius, the depth of 20 a radius, the width of a radius, the curvature of a radius, the cartilage thickness of a radius, the subchondral bone thickness of a radius, the diameter of an ulna, the depth of an ulna, the width of an ulna, the curvature of an ulna, the cartilage thickness of an ulna, the subchondral bone thickness of an ulna, the diameter of a proximal carpal row, the depth 25 of a proximal carpal row, the width of a proximal carpal row, the curvature of a proximal carpal row, the cartilage thickness of a proximal carpal row, the subchondral bone thickness of a proximal carpal row, the diameter of a scaphoid or lunate, the depth of a scaphoid or lunate, the width of a

6750-0011.40/IT02-U06.PCT1

scaphoid or lunate, the curvature of a scaphoid or lunate, the cartilage thickness of a scaphoid or lunate, the subchondral bone thickness of a scaphoid or lunate, the diameter of a triangular fibrocartilage, the depth of a triangular fibrocartilage, the width of a triangular fibrocartilage, the curvature of a triangular fibrocartilage, the thickness of a triangular fibrocartilage.

5 58. The implant of claim 45 wherein the joint is a finger joint.

10 59. The implant of claim 59 wherein the measurement is selected from the group consisting of the depth of a phalanx or metacarpal, the width of a phalanx or metacarpal, the diameter of a phalanx or metacarpal, the curvature of a phalanx or metacarpal, the cartilage thickness of a phalanx or metacarpal, the subchondral bone thickness of a phalanx or metacarpal.

15 60. The implant of claim 45 wherein the joint is in the spine.

15 61. The implant of claim 60 wherein the measurement is selected from the group consisting of the anteroposterior vertebral dimension, the mediolateral vertebral dimension, the height of the vertebral body anteriorly, centrally or posteriorly, the diameter of the pedicle(s), the width of the pedicle(s), the length of the pedicle(s), the diameter or radius of a facet joint(s), the volume of a facet joint(s), the 3D shape of a facet joint(s), the curvature of a facet joint(s), the dimensions of the posterior elements, the dimensions of the spinal canal, the 3D shape of the vertebral body.

20 62. An implant for treating a joint wherein the implant size is determined by estimating articular dimensions based on measurements of cartilage or bone landmarks within the joint.

6750-0011.40/IT02-U06.PCT1

63. A technique for designing an anatomically correct interpositional arthroplasty system for a joint comprising the following steps:

obtaining data of a cartilage or bone surface of the joint;

5 obtaining data of one or more meniscal surface(s);

combination of cartilage or bone surface data and meniscal surface data.

64. The method of claim 63 wherein data for existing bone joints is used to adjust the size of the meniscal model.

10 65. A method to treat a defect in a joint comprising:

obtaining an image of a joint; and

measuring at least one of curvature and thickness.

66. The method of claim 65 further comprising one or more of the steps of:

15 pre-processing the image obtained using a non-linear diffusion filter;

assigning a list of features to each oriented edge between at least two pixel boundaries;

converting the features into cost values; and

calculating a single cost value.

20 67. The method of claim 66 further comprising the step of:

determining the likelihood of a cost value being a part of a cartilage boundary.

25 68. The method of claims 65 and 67 further comprising the step of: determining a contour of a cartilage object by selecting at least a first pixel and a second pixel.

6750-0011.40/IT02-U06.PCT1

69. The method of claim 68 wherein the first pixel and the second pixel are automatically selected.

70. The method of claim 68 wherein the first pixel and the second pixel are selected by an operator.

5 71. The method of claim 65 further wherein the step of measuring thickness is performed by calculating cartilage thickness.

72. The method of claim 71 wherein the step of calculating cartilage thickness is performed using a 3D Euclidean Transform.

10 73. The method of claim 71 wherein the step of calculating cartilage thickness is performed by decomposing the calculation into a series of three one-dimensional transformations.

74. The method of claim 73 further wherein the one-dimensional transforms are squared to obtain a set of distances.

15 75. The method of claim 72 wherein a thickness of cartilage for a point on an outer cartilage surface is calculated based on the square root of  $S_{abc}$ .

76. The method of claim 65 further wherein an implant is designed from the measurement of at least one of curvature and thickness.

20 77. The method of claim 65 wherein the defect is a result of at least one of cartilage disease, bone damage, trauma, and degeneration.

78. The method of claims 73, 75 and 76 wherein the thickness is a measured thickness and is used to design an implant for treating the defect in the joint.

6750-0011.40/IT02-U06.PCT1

79. The method of claim 78 wherein the measured thickness is adjusted on at least a portion of the implant based on a quality of a material selected to manufacture the implant.

5 80. The method of claim 79 wherein the measured thickness is adjusted to a final implant thickness on at least a portion of the implant by a factor of from 0.2 to 1.5 of the measured thickness.

81. An implant suitable for treating a defect in a joint wherein the implant is designed by:

10 obtaining an image of a joint; and  
measuring at least one of curvature and thickness.

82. The method of claim 81 further comprising one or more of the steps of:

15 processing the image obtained using a non-linear diffusion filter;  
assigning a list of features to each oriented edge between at least two pixel boundaries;  
converting the features into cost values; and  
calculating a single cost value.

20 83. The method of claim 82 further comprising the step of:  
determining the likelihood of a cost value being a part of a cartilage boundary.

84. The method of claims 81 and 82 further comprising the step of: determining a contour of a cartilage object by selecting at least a first pixel and a second pixel.

25 85. The method of claim 84 wherein the first pixel and the second pixel are automatically selected.

6750-0011.40/IT02-U06.PCT1

86. The method of claim 84 wherein the first pixel and the second pixel are selected by an operator.

87. The method of claim 81 further comprising the step of calculating cartilage thickness.

5 88. The method of claim 87 wherein the step of calculating cartilage thickness is performed using a 3D Euclidean Transform.

89. The method of claim 87 wherein the step of calculating cartilage thickness is performed by decomposing the calculation into a series of three one-dimensional transformations.

10 90. The method of claim 89 further wherein the one-dimensional transforms are squared to obtain a set of distances.

91. The method of claim 88 wherein a thickness of cartilage for a point on an outer cartilage surface is calculated based on the square root of  $s_{abc}$ .

15 92. The method of claim 81 wherein the defect is a result of at least one of cartilage disease, bone damage, trauma, and degeneration.

93. The method of claims 89, 91 and 92 wherein the thickness is a measured thickness and is used to design an implant for treating the defect in the joint.

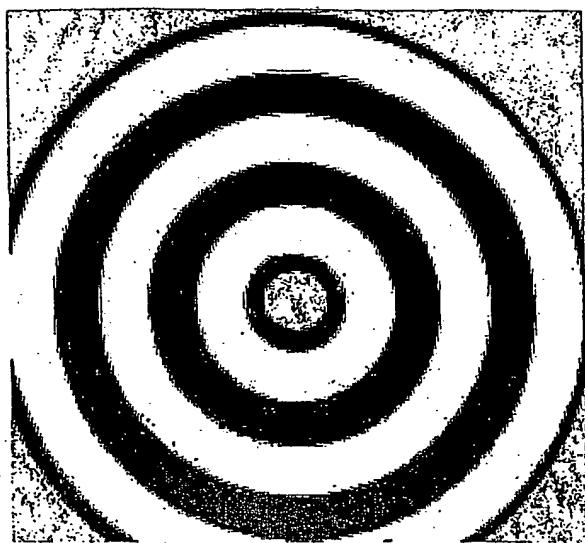
20 94. The method of claim 93 wherein the measured thickness is adjusted on at least a portion of the implant based on a quality of a material selected to manufacture the implant.

25 95. The method of claim 93 wherein the measured thickness is adjusted to a final implant thickness on at least a portion of the implant by a factor of from 0.2 to 1.5 of the measured thickness.

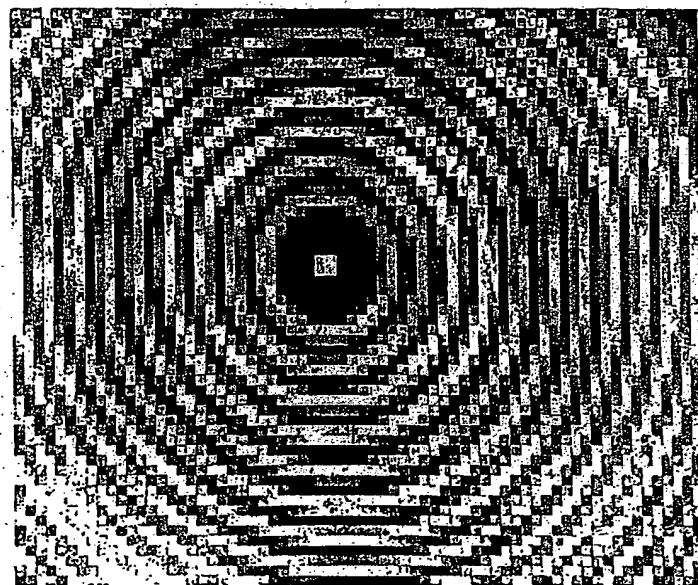
6750-0011.40/IT02-U06.PCT1

96. An implant for correcting a defect in a joint wherein the implant size is determined by estimating meniscal dimensions based on measurements of at least one of a thickness and curvature.

5 97. An implant for correcting a defect in a joint wherein the implant size is determined by estimating meniscal dimensions based on measurements of at least one of a thickness map and a curvature map.



**FIG. 1A**



**FIG. 1B**

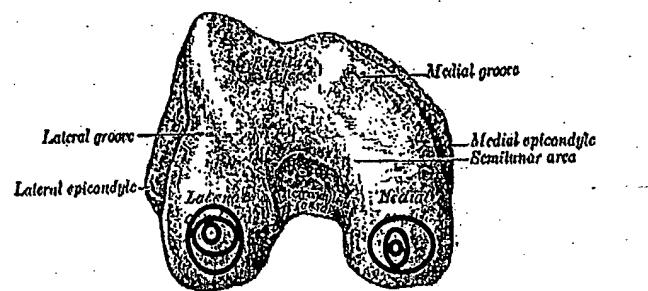
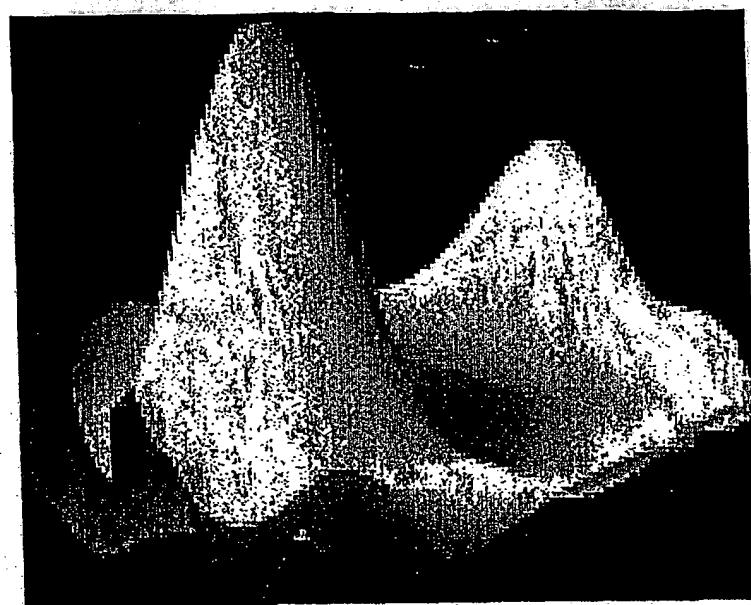


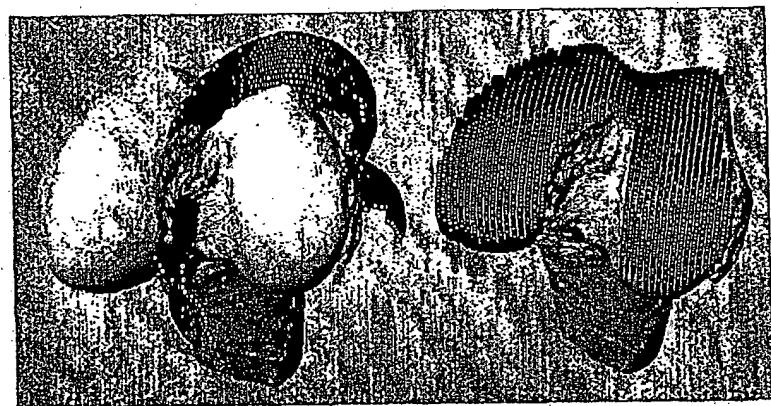
FIG. 2



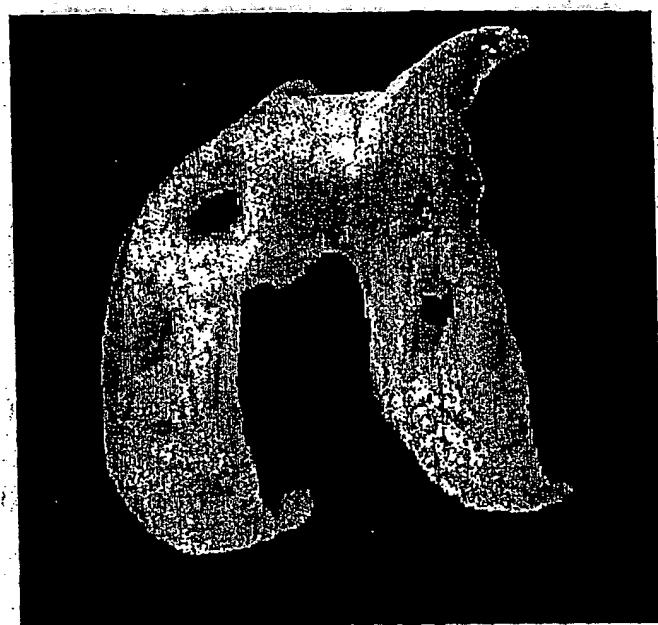
FIG. 3



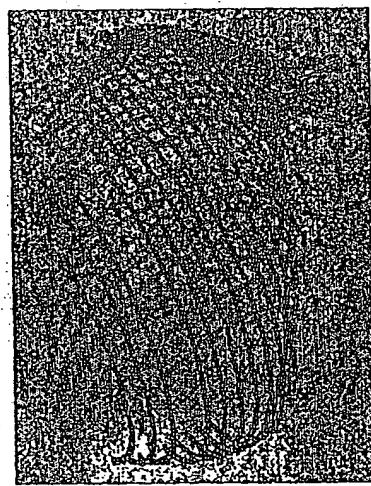
**FIG. 4**



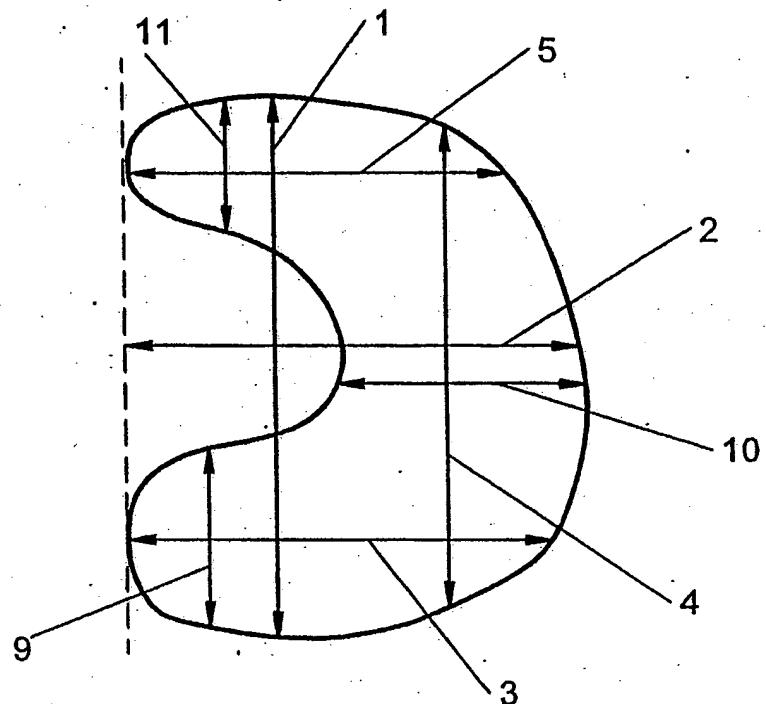
**FIG. 5**



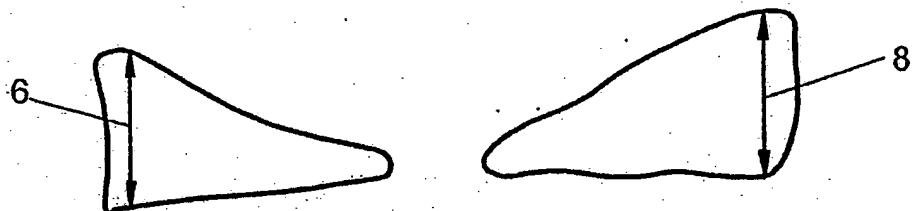
**FIG. 6**



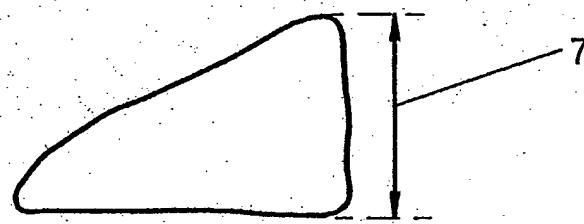
**FIG. 7**



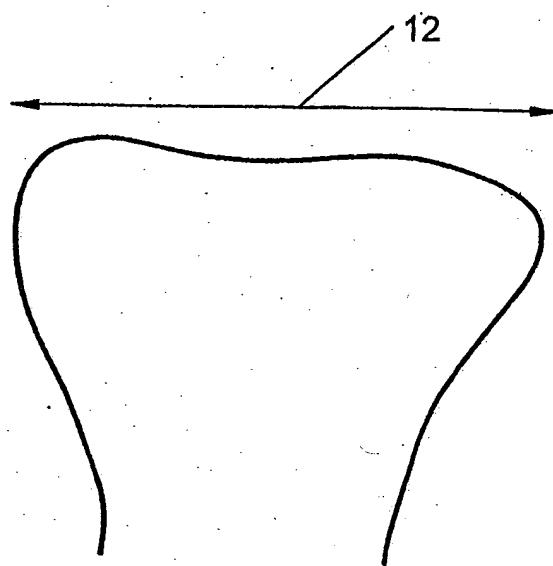
**FIG. 8A**



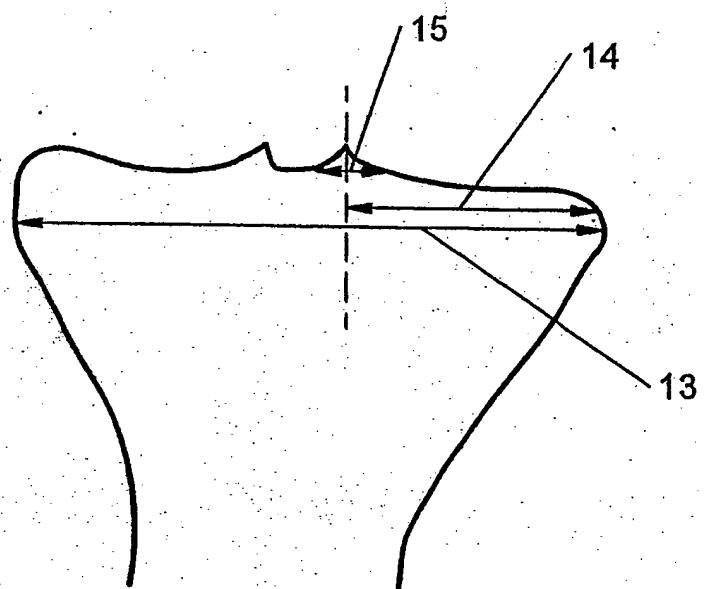
**FIG. 8B**



**FIG. 8C**



**FIG. 9A**



**FIG. 9B**

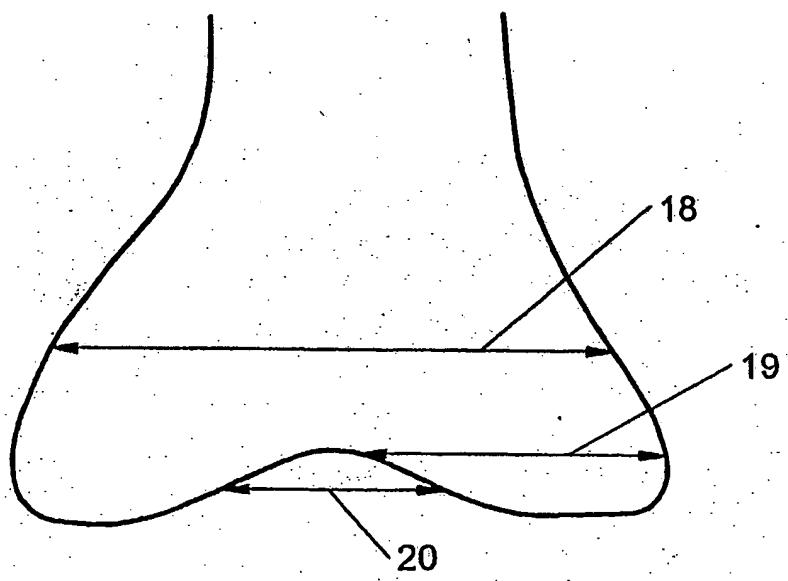
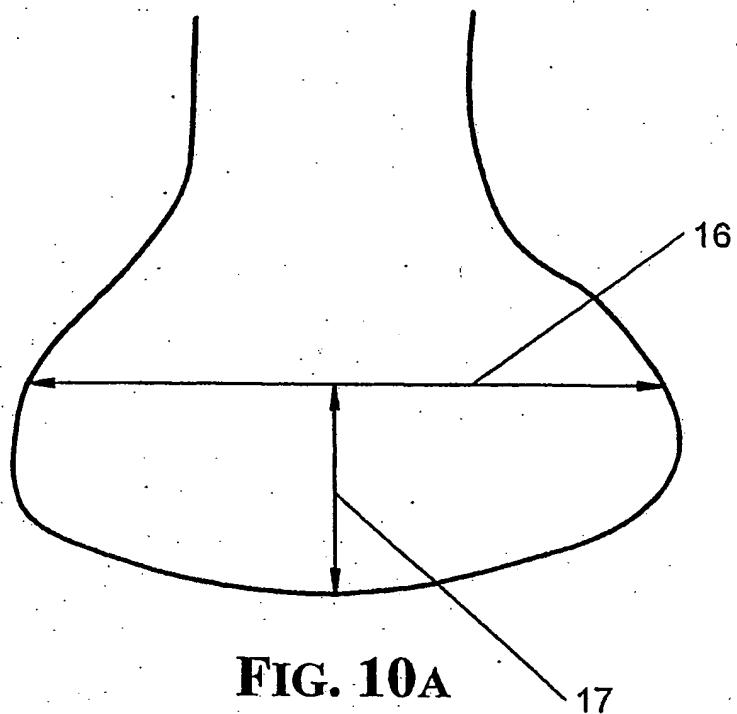
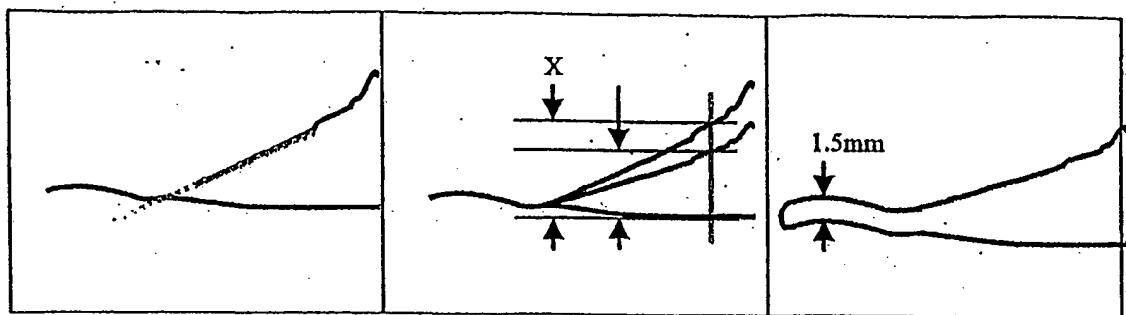
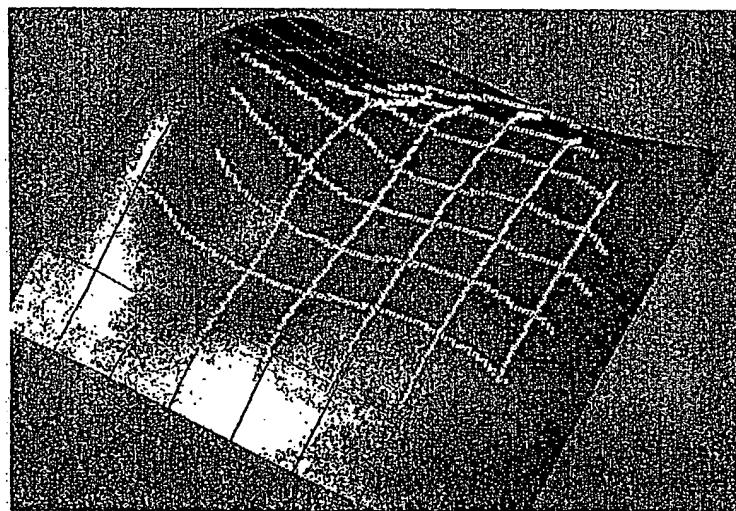


FIG. 10B



**FIG. 11 A-C**



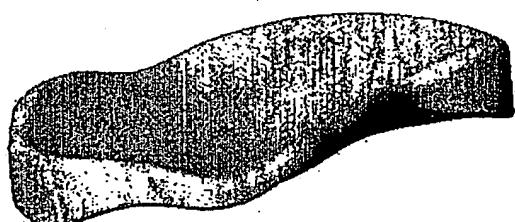
**FIG. 12**



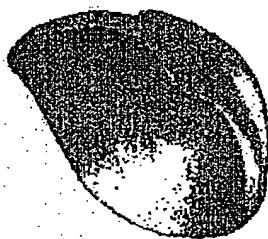
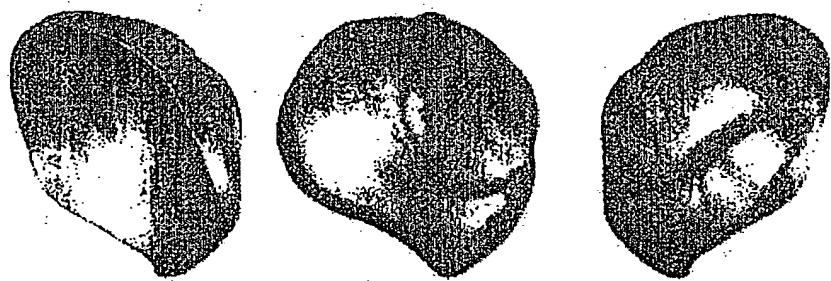
**FIG. 13A**



**FIG. 13B**



**FIG. 14**



**FIG. 15A-D**

## INTERNATIONAL SEARCH REPORT

International Application No  
PCT/US 03/36079A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61F2/30

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/59411 A (HALLOCK RICHARD H ; FELL BARRY M (US)) 12 October 2000 (2000-10-12) page 5, line 10 – page 23, line 15	17-31, 45-64, 81-97
X, P	WO 02/096268 A (LANG PHILIPP ; LINDER BARRY (US); STEINES DANIEL (US); IMAGING THERAPE) 5 December 2002 (2002-12-05) the whole document	17-31, 45-64, 81-97
X, P	WO 03/007788 A (MALAVIYA PRASANNA ; PLOUHAR PAMELA LYNN (US); SCHWARTZ HERBERT EUGENE) 30 January 2003 (2003-01-30) page 11, line 24 – page 44, line 22	17-31, 45-64, 81-97
X, P	US 2002/183850 A1 (RYDELL MARK A ET AL) 5 December 2002 (2002-12-05) paragraph '0023! – paragraph '0123!	17-31, 45-64, 81-97

 Further documents are listed in the continuation of box C. Patent family members are listed in annex.

## \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the International filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the International filing date but later than the priority date claimed

\*T\* later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*V\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in that art.

\*a\* document member of the same patent family

## Date of the actual completion of the International search

7 April 2004

## Date of mailing of the International search report

15/04/2004

Name and mailing address of the ISA  
European Patent Office, P.B. 5618 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax. (+31-70) 340-3016

## Authorized officer

Mary, C

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US 03/36079

### Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.: 1-16, 32-44, 65-80 because they relate to subject matter not required to be searched by this Authority, namely:  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by surgery
2.  Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3.  Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this International application, as follows:

1.  As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4.  No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International Application No  
PCT/US 03/36079

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0059411	A	12-10-2000	WO 0059411 A1 AU 3379299 A CA 2366822 A1 EP 1173119 A1 JP 2003525068 T US 6206927 B1	12-10-2000 23-10-2000 12-10-2000 23-01-2002 26-08-2003 27-03-2001
WO 02096268	A	05-12-2002	CA 2447694 A1 EP 1389980 A2 WO 02096268 A2 US 2003055502 A1 US 2003216669 A1	05-12-2002 25-02-2004 05-12-2002 20-03-2003 20-11-2003
WO 03007788	A	30-01-2003	WO 03007784 A2 WO 03007786 A2 WO 03007787 A2 WO 03007788 A2 WO 03007847 A1 WO 03007789 A2 WO 03007790 A2 WO 03007879 A2 WO 03007839 A2 WO 03007805 A2 US 2003033021 A1 US 2003021827 A1 US 2003078617 A1 US 2003036801 A1 US 2003044444 A1 US 2003033022 A1 US 2003049299 A1 US 2003032961 A1 US 2003036797 A1	30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 30-01-2003 13-02-2003 30-01-2003 24-04-2003 20-02-2003 06-03-2003 13-02-2003 13-03-2003 13-02-2003 20-02-2003
US 2002183850	A1	05-12-2002	US 2002127264 A1 WO 0217821 A2 WO 03061522 A2 US 2002173852 A1 AU 8548801 A CA 2420898 A1 EP 1315470 A2	12-09-2002 07-03-2002 31-07-2003 21-11-2002 13-03-2002 07-03-2002 04-06-2003

This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record

## BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT OR DRAWING
- BLURRED OR ILLEGIBLE TEXT OR DRAWING
- SKEWED/SLANTED IMAGES
- COLOR OR BLACK AND WHITE PHOTOGRAPHS
- GRAY SCALE DOCUMENTS
- LINES OR MARKS ON ORIGINAL DOCUMENT
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
- OTHER: \_\_\_\_\_

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.